

# ISPS/ MTSA Security Compliance Targeting Matrix

<b>I</b>	<b>II</b>	<b>III</b>	<b>IV</b>	<b>V</b>
<b>SHIP MANAGEMENT</b>	<b>FLAG STATE</b>	<b>RECOGNIZED SECURITY ORGANIZATION</b>	<b>SECURITY COMPLIANCE HISTORY</b>	<b>LAST PORTS OF CALL</b>
<b>5 POINTS</b>  Owner, operator, charterer associated w/ one ISPS-related denial of entry or ISPS-related expulsion from port in past 12 months or 2 or more ISPS/MTSA control action in a twelve month period	<b>7 POINTS</b>  SOLAS Vessels <sup>(1)</sup> Flag State has a CAR 2 or more times the overall CAR average for all flag States  <b>2 POINTS</b>  SOLAS Vessels <sup>(1)</sup> Flag State has a CAR between the overall CAR average and up to 2 times overall CAR average for all flag States  <b>7 POINTS</b>  Non-SOLAS Vessels <sup>(1)(2)</sup> Flag State has a CAR 2 or more times the overall CAR average for all flag States	<b>ISPS I</b>  3 or more RSO-related major control actions in the past twelve months  <b>5 POINTS</b>  2 RSO-related major control actions in the past twelve months  <b>2 POINTS</b>  1 RSO-related major control actions in the past twelve months	<b>ISPS I</b>  ISPS-related denial of entry/expulsion from port in past 12 months <sup>(3)</sup>  <b>ISPS II</b>  If matrix score does not result w/ ISPS I exam & no ISPS compliance exam within the past 12 months  <b>5 POINTS</b>  Vessel has had an ISPS/MTSA-related detention in the past twelve months  <b>2 POINTS</b>  Vessel has had 1 or more other ISPS/MTSA control actions in the past twelve months <sup>(4)</sup>  <b>2 POINTS</b>  Vessel has had at least 1 but not more than 5 ISPS Compliance exams in the past 3 years beginning 1 July 2004	<b>ISPS I</b>  For last 5 ports, as specified by Federal Register; refer to G-MOC targeted list  <b>ISPS II</b>  If matrix score does not result w/ ISPS I exam & for last 5 ports, if designated ISPS II; refer to G-MOC targeted list  <b>PRESCRIBED CONDITIONS OF ENTRY AND/OR DENY ENTRY</b>  For last 5 ports, as specified by Federal Register; refer to G-MOC targeted list

## TOTAL TARGETING SCORE

DETERMINES ISPS I, ISPS II, OR ISPS III

- (1) Only flag States with more than one major control action are considered
- (2) Includes vessels from non-signatory countries and non-SOLAS vessels from signatory countries
- (3) Depending upon circumstances of denial of entry, COTP or OCMI may relax assignment to ISPS II. Also, if denial of entry due solely to failure to provide NOA, assign 2 points
- (4) Include vessel delays, restriction of operations, restriction of movement related to vessel security deficiencies. Do not include inspection of the ship or lesser administrative actions.

- ♦ Vessels that score 17 points or higher are ISPS I vessels and should be examined prior to port-entry.
- ♦ Vessels that score between 7-16 points are ISPS II vessels and are subject to examination upon port arrival.
- ♦ Vessels scoring fewer than 7 points are ISPS III vessels and are not subject to examination unless selected for a random MTSA/ISPS examination.